

Explorations in Generative Grammar

A Festschrift for Dong-Whee Yang

Edited by

Young-Sun Kim

Byung-Choon Lee

Kyoung-Jae Lee

Hyun-Kwon Yang

Jong-Yuri Yoon

HANKUK PUBLISHING CO.

3^e cycle, Paris VIII.

- Ruwet, N. (1972), "La syntaxe du pronom "en" et la transformation de "montée du sujet" in *Theorie Syntaxique et Syntaxe du Fran aise*, Paris, Seuil.
- Ruwet, N. (1983), "Montée et Contrôle : Une question à revoir?", in M. Herslund (ed.) *Analyses grammaticales du français. Etudes publiées à l'occasion du 50^e anniversaire de Carl Vihner. Revue Romane 24*, Akademisk forlag, Copenhagen. (Translated in English as, "Raising and Control Revisited" in Ruwet (1991), *Syntax and Human Experience*, University of Chicago Press).
- Ruwet, N. (1990), "En et Y : deux ciliques pronominaux antilogophoriques", *Langages*, 97.
- Safir, K. (1985), *Syntactic Chains*, Cambridge University Press, Cambridge UK.
- Seuren, P. (1969), *Operators and Nucleus*, Cambridge University Press, Cambridge UK.
- Szabolcsi, A. (1981), "The Possessive Construction in Hungarian: A Configurational Category in a Non-Configurational Language, *Acta Linguistica Academiae Scientiarum Hungaricae* 31.
- Szabolcsi, A. (1983), "The Possessor that Ran Away from Home", *The Linguistic Review* 3.
- Szabolcsi, A. (to appear), "The Noun Phrase", in F. Kiefer, & K. Kiss (eds), *The Syntactic Structure of Hungarian*, Academic Press, New York.
- Tasmowski-De Ryck, L. (1990), "...en semble ou semble en", *Le Français Moderne* 58. (1.2), Paris.
- Von Wright, G.-H. (1955), *An Essay on Modal Logic*, North Holland, Amsterdam.
- Yang, D-W (1984), "The Extended Binding Theory of Anaphors", *Linguistic Research*, 19.2, 169-192 Seoul.
- Yang, D-W (1989), "On Anaphor Movement", *NELS* 19. 435-452.
- Zribi-Hertz, A. (1978), "Economisons-nous: A propos d'une classe de formes réflexives métonymiques en français", *Langue française*, 39.
- Zribi-Hertz, A. (1989), "Anaphor Binding and Narrative Point of View: English Reflexive Pronouns in Sentence Discourse", *Langage*, 65.4.

Scrambling and the Functional interpretation of WH-Phrases*

Mamoru Saito

(University of Connecticut)

1. Introduction

Quantifier Raising (QR) and covert Wh-movement have often been considered representative cases of LF movement operations. (See, for example, Chomsky (1976, 1981) and Huang (1982).) QR, as discussed in detail in May (1977), adjoins a quantified NP to the maximal projection where it takes scope. It automatically accounts for the kind of scope ambiguity observed in (1).

- (1) Someone loves everyone

[some x: x a person] [all y: y a person] x loves y

[all y: y a person] [some x: x a person] x loves y

LF Wh-movement, on the other hand, moves a Wh-phrase in situ to the CP SPEC where it is interpreted. The English (2) and the Japanese (3) illustrate the effect of this operation.

- (2) Who_i t_i bought what

[which x, y: x a person and y a thing] x bought y

- (3) John-ga nani-o katta no

-nom what-acc bought

(What did John buy)

[which x: x a thing] John bought x

The very existence of QR and LF Wh-movement, however, has recently been questioned. For example, Kitahara (1993) proposes to do away with QR, and Chomsky (1992) suggests that there is no LF Wh-movement.¹ Closely related to the latter issue is the existence (or non-existence) of syntactic Wh-movement in languages like Japanese. Kuroda (1988) and Takahashi (1993), for example, argue that scrambling, as in (4), may count as Wh-movement, and hence, that the language has optional syntactic Wh-movement.

- (4) Nani-o, John-ga *t*_i katta no
 what-acc -nom bought
 (What did John buy)

In this paper, I will consider the restrictions on the so-called quantificational Wh-phrases, exemplified in (5), and discuss the implications for the issues on QR and Wh-movement mentioned above.

- (5) *Whati* did everyone buy *t*_i

In the following section, I will develop the analysis hinted at in Lasnik and Saito (1992) and proposed in Murasugi and Saito (1993). By doing so, I will strengthen the argument in the latter that QR exists as an operation in overt syntax. In Section 3, I will examine the parallel data in Japanese, and show that they constitute evidence for Kitahara and Chomsky's hypotheses. More precisely, I will argue that QR and argument Wh-movement do not apply in LF. Finally, in Section 4, I will suggest that Japanese has optional syntactic Wh-movement, as proposed by Kuroda and Takahashi.²

2. The ECP Analysis of the Island Effects on Wh-Quantifiers

As discussed in detail in May (1985), the example in (5) is ambiguous. Under one reading, a singular answer like 'a book' is expected. The other reading allows a pair-like answer like the one shown in (6).

- (6) Mary bought a book, Bill bought a record, Sue bought a pen, ...

In the second interpretation of (5), 'everyone' seems to be scopally interacting with 'what', and taking wide scope. As May notes, the same ambiguity obtains even when the Wh-phrase is moved long-distance, as in (7).

- (7) *What*_i does John think that everyone bought *t*_i

However, as Longobardi (1987) and Cinque (1990) point out, the second reading disappears when the Wh-phrase is moved out of an island. (8), in sharp contrast with (6)-(7), does not allow a pair-list answer.

- (8) ?*What*_i does John wonder whether everyone bought *t*_i

An ECP analysis of this last fact is proposed in Murasugi and Saito (1993).³ There, the relevant facts are interpreted as follows, basically along the lines proposed in Cinque (1990). For the second, crucial reading to obtain, 'everyone' and 'what' must scopally interact. This in turn implies that 'what' must be interpreted as a quantifier. The fact in (8), then, indicates that when a Wh-phrase is construed as a quantifier (a Wh-quantifier), it cannot be extricated out of an island. Here, we know independently from Huang (1982) that due to the ECP, islands are absolute barriers for movement of adjuncts. Thus, (9b) contrasts sharply with (9a).

- (9) a. *Why*_i does John think that [Mary left early *t*_i]
 b. **Why*_i does John wonder whether [Mary left early *t*_i]

The generalization relevant for (8), hence, can be stated as in (10).

- (10) Wh-quantifiers behave as adjuncts.

The ECP analysis mentioned above accounts for (10), adopting Chomsky's (1992) 'copy + deletion' theory of A'-movement and Kuroda's (1968) decomposition of Wh-phrases. The 'copy + deletion' theory is motivated in

- (21) a. [_{CP} [_{IP} John-ga [_{CP} [_{NP} Taroo to Hanako]-ga nani-o
 -nom and -nom what-acc
 katta to omotteru] ka] osiete kudasai
 bought COMP think Q tell-me please
 (Please tell me what John thinks that Taroo and Hanako bought.)
 b. [_{CP} [_{IP} John-ga [_{CP} [_{IP} nani-o_i [_{NP} Taroo to Hanako]-ga *t_i*
 -nom what-acc and -nom
 katta to omotteru] ka] osiete kudasai
 bought COMP think Q tell-me please
 c. [_{CP} [_{IP} nani-o_i [_{IP} John-ga [_{CP} [_{IP} [_{NP} Taroo to Hanako]-ga *t_i*
 what-acc -nom and -nom
 katta to omotteru] ka] osiete kudasai
 bought COMP think Q tell-me please

(21a) clearly allows a pair-list answer like 'John thinks that Taroo bought a record and Hanako bought a book'. This means that the Wh-phrase 'nani' can be construed as a functional Wh dependent on 'Taroo to Hanako'. The same is true of (21b-c), where the Wh-phrase is scrambled. The relevant reading is a little difficult in the case of (21c), which involves long-distance scrambling. This, I believe, is because a phrase preposed by long-distance scrambling tends to receive a contrastive focus, and is irrelevant for the present concern. Note that (21b) and (21c) correspond in structure to the English Wh-movement examples (5) and (7) respectively.

Let us next consider cases where a Wh-phrase is scrambled out of an island. In (22a), the Wh-phrase 'nani' is in situ and is contained within a Wh-island.⁵

- (22) a. ?[_{CP} [_{IP} John-ga [_{CP} [_{IP} [_{NP} Taroo to Hanako]-ga nani-o
 -nom and -nom what-acc

katta] kadooka] sirabeiru] ka] osiete kudasai
 bought whether investigating Q tell-me please
 (Lit. Please tell me what John is investigating whether Taroo and Hanako bought)

- b. ?[_{CP} [_{IP} Nani-o_i [_{IP} John-ga [_{CP} [_{IP} [_{NP} Taroo to Hanako]-ga
 what-acc -nom and -nom
 katta] kadooka] sirabeiru]] ka] osiete kudasai
 bought whether investigating Q tell-me please

The functional Wh interpretation of 'nani' in this example, like that in (21c), is slightly difficult. But it sharply contrasts with (22b), where the Wh-phrase is actually scrambled out of the Wh-island. In the latter example, the functional Wh reading is simply impossible, as in the English (8). The contrast observed in (22) comes out even more clearly with multiple Wh-questions like those in (23).

- (23) a. ?[_{CP} [_{IP} John-ga [_{CP} [_{IP} [_{NP} Ozawa to Hosokawa]-ga dare-kara
 -nom and -nom who-from
 nani-o uketotta] kadooka] sirabeiru] ka] osiete kudasai
 what-acc received whether investigating Q tell-me please
 (Lit. Please tell me what John is investigating whether Ozawa and Hosokawa received from whom)
 b. ?[_{CP} [_{IP} Dare-kara_i [_{IP} nani-o_j [_{IP} John-ga [_{CP} [_{IP} [_{NP} Ozawa to
 who-from what-acc -nom and
 Hosokawa]-ga *t_i* uketotta] kadooka] sirabeiru]]]] ka] osiete
 -nom received whether investigating Q tell-me
 kudasai
 please

(23a) is a proper question even when it is assumed that *Ozawa and Hosokawa* may have received different things from different organizations.

Thus, (24) is an appropriate answer.

(24) John is investigating whether Ozawa received stocks from R Corporation, and whether Hosokawa received money from S Corporation.

(23b), on the other hand, presupposes that John's investigation concerns a single organization and whether or not it gave a certain thing to *Ozawa and Hosokawa*.

Similarly, in (25), 'nani' can be interpreted as a functional Wh, but not 'dare-kara', which is scrambled out of a Wh-island.

(25) ?[_{CP} [_{IP} Dare-kara [_{IP} John-ga [_{CP} [_{IP} Ozawa to Hosokawa]-ga
who -from -nom and -nom
*t*_i nani-o uketotta] ka] sirabeiteiru] ka] osiete kudasai
what-acc received Q investigating Q tell-me please
(Lit. Please tell me from whom John is investigating what Ozawa
and Hosokawa received)

The same pattern obtains with scrambling out of a complex NP, as shown in (26).

(26) a. [_{CP} [_{IP} John-ga [_{NP} [_{IP} Taroo to Hanako]-ga nani-o
-nom and -nom what-acc
katta] koto]-o mondai -ni siteiru] no ka] osiete kudasai
bought fact-acc problem-in investigating Q tell-me please
(Lit. Please tell me what John is making issues out of the fact
that Taroo and Hanako bought)
b. ?[_{CP} [_{IP} Nani-o_i [_{IP} John-ga [_{NP} [_{IP} Taroo to Hanako]-ga *t*_i
what-acc -nom and -nom
katta] koto]-o mondai -ni siteiru]] no ka] osiete kudasai
bought fact-acc problem-in investigating Q tell-me please

A pair-list answer is possible for (26a), which indicates that 'nani' can be interpreted as a functional Wh. But it is not in the case of (26b).

(22b), (23b), and (26b) can be explained exactly as the English (8). The first step of the movement, i.e. adjunction to the most deeply embedded VP, counts as the QR of 'f(x)', and scrambling starts from there, as shown in (27).

(27) XP₁, ... [_{VP} XP₁ [_{VP} ... XP₁
scrambling QR

Since the scrambling part originates in an adjunct position, extraction out of an island results in an ECP violation. This analysis, like that of (8), implies that the initial part of the movement of a Wh-quantifier necessarily counts as QR; otherwise, the scrambling in (22b), (23b), and (26b) could originate in an argument position.

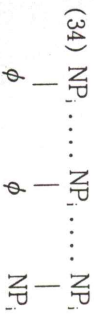
It was observed above that scrambling shows the same pattern as Wh-movement with respect to the possibility of the functional Wh interpretation. Another fact that came up in the discussion is that a Wh-phrase in situ can receive this interpretation even when it is contained within an island.⁶ A relevant example, (22a), is repeated below in (28).

(28) ?[_{CP} [_{IP} John-ga [_{CP} [_{IP} Taroo to Hanako]-ga nani-o
-nom and -nom what-acc
katta] kadooka] sirabeiteiru] ka] osiete kudasai
bought whether investigating Q tell-me please
(Lit. Please tell me what John is investigating whether Taroo and
Hanako bought)

This example contrasts sharply with the English (8), repeated in (29), in which the functional Wh interpretation is totally impossible.

(29) ?What_i does John wonder whether everyone bought *t*_i

bled out of the relevant CP. Given this fact, it is proposed in Saito (1989) that scrambling can be freely undone in LF. 'Nani' in (32b) then can move back to its D-structure position at this level. Under the 'copy + deletion' theory, this "undoing" can be interpreted as the deletion of all the copies created by scrambling, as in (34).



The analysis of functional Wh presented above implies that there are cases where this "undoing" can only be partial. If the movement in (21b), for example, can be totally undone in LF, there would be no way to distinguish this example from (21a) at the point the ECP applies at LF. Thus, given the movement in (31), the undoing of the QR part must be prohibited. Note that even if the scrambling portion is undone, the Wh-phrase is in an adjunct position, and hence, (21b), with the functional Wh interpretation, is still rules out in the same way as (30). "Undoing," then, must apply only to the part of the movement which does not establish an operator-variable (e.g. a quantifier-variable) relation.

This conclusion provides support for Kuroda (1988) and Takahashi's (1993) hypothesis that Japanese has optional syntactic Wh-movement. They argue that when a Wh-phrase is scrambled to the position where it takes scope, the movement counts as Wh-movement. Given the conclusion reached above, this must be exactly what happens in examples like (32b), and (35)-(36) below.

- (35) ?_{NP} Nani-o, [_{NP} John-ga [_{CP} [_{NP} Taroo to Hanako]-ga *t* katta] ka
 what-acc -nom and -nom bought Q
 siritagatteiru]] (koto)
 want-to-know fact
 (the fact that John wants to know what Taroo and Hanako bought)

- (36) ?_{NP} Naze, [_{NP} John-ga [_{CP} [_{NP} Taroo-ga *t* sore-o katta] ka
 why -nom -nom it-acc bought Q
 siritagatteiru]] (koto)
 want-to-know fact
 (the fact that John wants to know why Taroo bought it)

In (35), 'nani' moves through the embedded CP SPEC on the way to the IP-adjoined position. Since it takes scope at this CP SPEC, the movement to this position establishes a proper operator-variable (i.e. Wh-variable) relation. Hence, this movement should count as Wh-movement, in the same way that VP-adjunction of a quantificational Wh counts as QR. The scrambling, strictly speaking, starts from the embedded CP SPEC, and only the scrambling part can be undone in LF.

Note also that (35) allows the functional Wh interpretation of 'nani'. With this interpretation, the initial adjunction to the embedded VP counts as QR, and the Wh-movement to the embedded CP SPEC starts from this position. The movement then consists of three parts, QR, Wh-movement, and scrambling. After the final scrambling part is undone, the LF representation of the embedded CP will be exactly like that of (37) with the functional Wh reading.

- (37) What_i did Taroo and Hanako buy *t*?

Similarly, in (36), the movement of 'naze' to the embedded CP SPEC should count as Wh-movement, and the created A'-chain should be retained at LF. There is no ECP violation since the LF of the embedded CP will be exactly like that of the English (38).

- (38) Why_i did Taroo buy it *t*?

5. Conclusion

In this paper, I examined the Wh-Q "scope interaction" in English and Japanese, and argued that QR exists as a syntactic operation. It takes place "accidentally" as the initial part of Wh-movement or scrambling. I also suggested on the basis of Japanese data that neither QR nor argument Wh-movement applies in LF, as proposed by Kitahara (1993) and Chomsky (1992). Finally, I argued that scrambling can "accidentally" establish a proper operator-variable relation, and when it does, it is not semantically vacuous in the sense of Saito (1989). This conclusion is in line with the hypothesis proposed by Kuroda (1988) and Takahashi (1993) that Japanese has optional Wh-movement.

NOTES

* The material in this paper was presented at the Third Nanzan International Symposium on Japanese Linguistics and Pedagogy, and also at the Second Tohoku University English/Japanese Comparative Syntax Workshop. I would like to thank, in particular, Andy Barss, Hideki Maki, and Daiko Takahashi for helpful suggestions and comments. I also benefited from discussions with Jun Abe, Yasuaki Abe, Yuki Kuroda, Howard Lasnik, Keiko Murasugi, and Akira Watanabe.

1. See also Kroch (1974) for a proposal on quantifier interpretation without quantifier raising.
2. Watanabe (1992) argues that syntactic Wh-movement (empty operator movement) is obligatory in Japanese. I will not discuss this hypothesis in this paper, but as far as I can see, it is consistent with the data and the analysis presented here.

3. See also Abe (1993) for much relevant discussion.
4. I am indebted to Andy Barss (p.c., 1993) for pointing out the potential relevance of Chierchia's theory in this context. Here, I will only illustrate his theory in a very sketchy way. See Chierchia (1992) for his actual arguments for the functional Wh analysis.
5. As discussed in detail in Nishigauchi (1986) and Watanabe (1992), a sentence is marginal when a Wh-phrase is contained in a Wh-island. I will abstract away from this effect in the discussion in the text.
6. This type of data, as well as their theoretical significance, was pointed out to me by Daiko Takahashi (p.c., 1994). The conclusion he drew, however, was different from the one presented here.
7. This of course suggests that a Wh-phrase in an adjunct position, as opposed to that in an argument position, must move in LF to the relevant CP SPEC to be licensed, and that examples like (30) are ruled out by the ECP, as proposed in Huang (1982).

REFERENCES

- Abe, J. (1993) *Binding Conditions and Scrambling without A/A' Distinction*, Ph. D. Dissertation, University of Connecticut.
- Chomsky, N. (1976) "Conditions on Rules of Grammar," *Linguistic Analysis* 2, pp. 303-352.
- Chomsky, N. (1981) *Lectures on Government and Binding*, Foris Publications, Dordrecht.
- Chomsky, N. (1992) *A Minimalist Program for Linguistic Theory*, MIT Occasional Papers in Linguistics 1.
- Chierchia, G. (1992) "Functional Wh and Weak Crossover," *The Proceedings of the Tenth West Coast Conference on Formal Linguistics*, pp. 75-90.
- Cinque, G. (1990) *Types of A'-Dependencies*, MIT Press, Cambridge.
- Huang, J. C.-T. (1982) *Logical Relations in Chinese and the Theory of Grammar*,

- Ph.D. Dissertation, MIT.
- Kitahara, H. (1993) "Raising Quantifiers without Quantifier Raising," ms. Harvard University.
- Kroch, A. (1974) *The Semantics of Scope in English*, Ph.D. Dissertation, MIT.
- Kuroda, S.-Y. (1968) "English Relativization and Certain Related Problems," *Language* 44, pp. 244–268.
- Kuroda, S.-Y. (1988) "Whether We Agree or Not: A Comparative Syntax of English and Japanese," in W. Poser, ed., *Papers from the Second International Workshop on Japanese Syntax*, Center for the Study of Language and Information, Stanford, pp. 103–143.
- Lasnik, H. and M. Saito (1992) *Move: Conditions on its Application and Output*, MIT Press, Cambridge.
- Longobardi, G. (1987) "Extraction from NP and the Proper Treatment of Head Government," ms. Scuola Normale Superiore, Pisa.
- May, R. (1977) *The Grammar of Quantification*, Ph.D. Dissertation, MIT.
- May, R. (1985) *Logical Form: Its Structure and Derivation*, MIT Press, Cambridge.
- Murasugi, K. and M. Saito (1993) "Quasi-Adjuncts as Sentential Arguments," *Proceedings of the Western Conference on Linguistics* 5, pp. 251–264.
- Nishigauchi, T. (1986) *Quantification in Syntax*, Ph.D. Dissertation, University of Massachusetts, Amherst.
- Saito, M. (1989) "Scrambling as Semantically Vacuous A'-Movement," in M. Baltin and A. Kroch, eds., *Alternative Conceptions of Phrase Structure*, University of Chicago Press, Chicago, pp. 182–200.
- Takahashi, D. (1993) "Movement of Wh-Phrases in Japanese," *Natural Language & Linguistic Theory* 11, pp. 655–678.
- Watanabe, A. (1992) "Subjacency and S-structure Movement of WH-in-situ," *Journal of East Asian Linguistics* 1, pp. 255–291.

On Gapping and Right Node Raising*

Keun-Won Sohn

(University of Connecticut)

1. Introduction

This paper concerns an elision of a verb (accompanied by an optional elision of other phrases) in two types of languages; head initial languages like English and head final languages like Korean or Japanese. Let us start our discussion by looking at this verb elision phenomenon in English. There are several types of missing verb constructions in English. The following English examples illustrate one of them, a so-called gapping phenomenon, which is our main concern.

(1) a. *Bill, Sue. (After someone said, "John likes Mary.")

b. John likes Mary, and Bill, Sue.

(=John likes Mary and Bill likes Sue.)

c. *John, Mary, and Bill likes Sue.

Gapping is distinguished from other verb elision contexts like VP deletion or pseudo gapping in that no auxiliary verb is required. Also gapping is special since it is allowed only in limited contexts; that is, only in coordinate structures. For example, the sequence (1a) is not allowed unless it is put in the conjunction as in (1b). Note that the antecedent in a previous sentence would not help, as shown in (1a). It is well known that we have to interpret this sentence in a specific way. More precisely, the elided verb must be the same as the verb in the first conjunct as indicated in the parenthesis.